

City of Oakley

ADDENDUM NO. 2 to contract documents for

Vintage Parkway Repair & Resurfacing Project, CIP No. 214

BID OPENING DATE: March 5, 2020, 2:00 PM

Notice is hereby given that the following clarifications and revisions are made to the above referenced contract documents:

Update to the Specifications:

Caltrans Division of Local Assistant released Office Bulletin # 20-02, a policy update to the Disadvantage Business Enterprise (DBE) Running Tally Procedure.

Title 49 of the Code of Federal Regulations (CFR), Part 26.37(c) requires recipients of federal-aid funding to "provide a running tally of actual attainments (e.g., payments actually made to DBE firms), including a means of comparing these attainments to commitments."

Effective March 1, 2020, after submitting an invoice for reimbursement that includes a payment to a DBE, but no later than the 10th of the following month, the prime contractor/consultant shall complete and email the Exhibit 9-F: Disadvantaged Business Enterprise Running Tally of Payments to business.support.unit@dot.ca.gov with a copy to local administering agencies.

The Office Bulletin # 20-02 Disadvantaged Business Enterprise (DBE) Running Tally Procedure has been released and is posted here: <https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/ob/2020/ob20-02.pdf>

Included with this addendum are the following documents:

1. Office Bulletin #20-02 Disadvantage Business Enterprise (DBE) Running Tally Procedure
2. Sample Summary Report for Disadvantage Business Enterprise (DBE) Running Tally of Attainments
3. Exhibit 9-F: Disadvantage Business Enterprise (DBE) Running Tally of Payments

All bidders shall acknowledge receipt and acceptance of Addendum No. 2 by signing in the space provided at the end of this Addendum and submitting the signed addendum with their proposal.



Jason Kabalin
Public Works Director/City Engineer
February 25, 2020

Contractor Signature

Date

Company Name



Expires – Upon Issuance of LPP

Disadvantage Business Enterprise (DBE) Running Tally Procedure

I. BACKGROUND

[Title 49 of the Code of Federal Regulations \(CFR\), Part 26.37\(c\)](#) requires recipients of federal-aid funding to “provide a running tally of actual attainments¹, including a means of comparing these attainments to commitments.” This requirement does not apply to projects that do not have federal funding.

II. POLICY

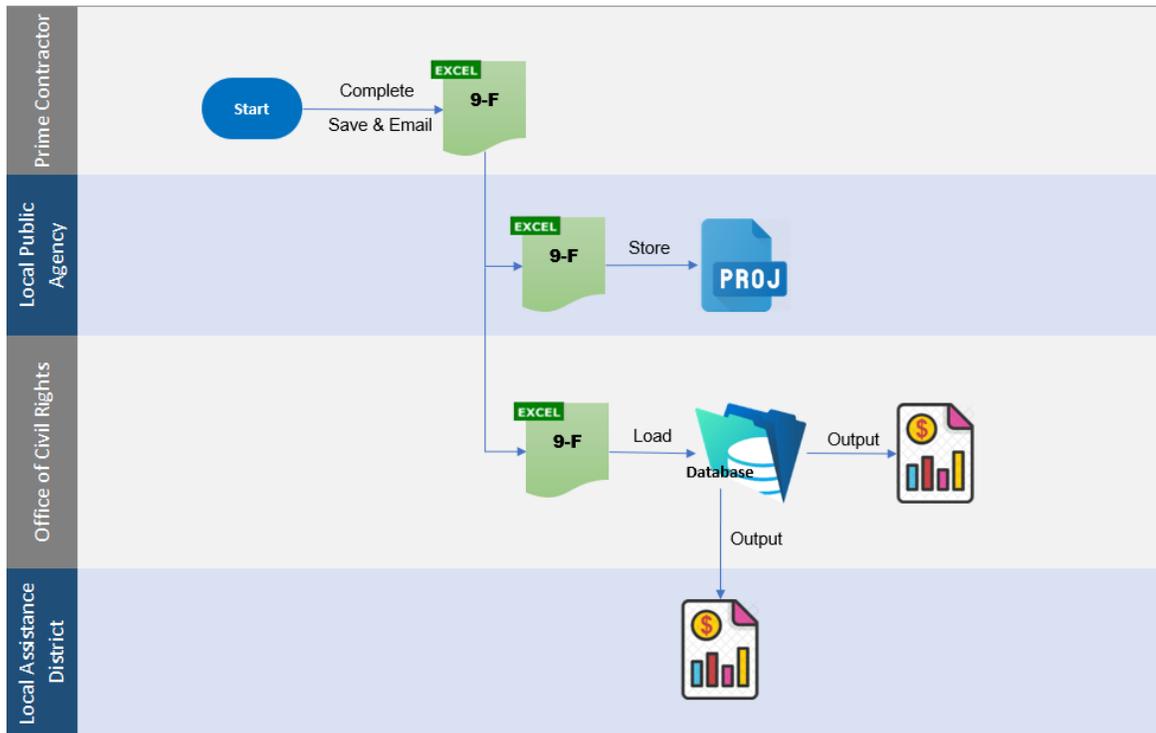
To comply with [49 CFR 26.37\(c\)](#), the prime contractor/consultant must complete the Exhibit 9-F: Disadvantaged Business Enterprise Running Tally of Payments until all DBE subcontracting or material supply activity on the entire project is completed.

III. PROCEDURE

For projects that are awarded on or after March 1, 2020, after submitting an invoice for reimbursement that includes a payment to a DBE, but no later than the 10th of the following month, the prime contractor/consultant shall complete and email the Exhibit 9-F: Disadvantaged Business Enterprise Running Tally of Payments to business.support.unit@dot.ca.gov with a copy to local administering agencies. Exhibit 9-F lists accurate payment amount, total amount paid to date, and total commitment amount for each DBE on the federal-aid highway project. It also includes contract award amount and total payment to date to the prime contractor/consultant.

The following flowchart shows the process of generating the Running Tally of Actual Attainment summary report once the Exhibit 9-F is submitted.

¹ e.g., payments actually made to DBE firms



IV. APPLICABILITY/IMPACTS

This policy applies to all federal-aid highway projects with DBE participation.

Recommended: Original Signature By 02/11/2020
 Hau Doan, Policy Liaison Engineer Date
 Office of Guidance and Oversight

Approved: Original Signature By 02/11/2020
 Felicia Haslem, Acting Office Chief Date
 Office of Guidance and Oversight

Attachments:

- Exhibit 9-F: Disadvantaged Business Enterprise Running Tally of Payments
- Sample Output: Running Tally of Attainments Summary Reports

DISADVANTAGED BUSINESS ENTERPRISE (DBE) RUNNING TALLY OF ATTAINMENTS

SAMPLE SUMMARY REPORT

Report Period: Jan 2020

STATEWIDE SUMMARY - Total Contract

Prime Contracts	Total Number	Total Contract Award		Total Payments To Date	
	16	\$15,489,616		\$3,006,754	
DBE Sub Contracts	Total Number	Total DBEs Commitment		Total Payments To Date	% Below/Above DBE Goal
	39	\$3,015,766	19.47%	\$727,075	24.18% 4.71% Above

PRIME CONTRACTOR - Total Contract

Prime Contractor Name	DUNS Number	Project Number	Contract Number	Contract Amount	% DBE Goal	Total Paid to Prime	Total Paid to DBE	% Below/Above DBE Goal	
DISTRICT 1									
CEN-PAC Engineering	56207545	5017(045)	01-91422	\$300,600	16.40%	\$51,102	\$10,852	-4.84%	Below
Northwest Paving, Inc.	52516406	5914(025)	01-49285	\$297,327	15.52%	\$65,412	\$14,391	-6.48%	Below
DISTRICT 2									
Eagle Peak Rock & Paving	36210515	5903(059)	02-82274	\$1,169,207	17.69%	\$268,918	\$61,851	-5.31%	Below
DISTRICT 3									
McGuire and Hester	44042949	5095(025)	03-22335	\$1,166,407	15.85%	\$221,617	\$42,107	-3.15%	Below
Granite	79280257	5187(010)	3-80978	\$2,735,073	17.64%	\$765,821	\$214,430	-10.36%	Below
DISTRICT 5									
MNS	79697770	5949(120)	05-19593	\$382,596	15.63%	\$72,693	\$15,926	-6.28%	Below
Wallace Group	33232606	5138(052)	05-52885	\$144,494	16.14%	\$21,674	\$3,251	1.14%	Above
DISTRICT 6									
Pavement Coating Co.	96623330	5945(105)	06-76005	\$2,182,206	17.59%	\$218,221	\$21,822	7.59%	Above
Granite Construction Company	59775164	5091(062)	06-39482	\$2,447,919	17.99%	\$538,542	\$118,479	-4.01%	Below
DISTRICT 7									
Sterndahl Enterprises, Inc.	90788666	5953(700)	07-74592	\$706,775	15.89%	\$162,558	\$37,388	-7.11%	Below
ALL AMERICAN ASPHALT	81165835	5334(058)	07-70040	\$1,648,493	16.84%	\$214,304	\$27,860	3.84%	Above
DISTRICT 10									
George Reed, Inc.	91529297	5938(211)	10-69995	\$766,478	17.42%	\$107,307	\$15,023	3.42%	Above
United Pavement	85220379	5406(028)	10-87660	\$479,532	16.51%	\$105,497	\$23,209	-5.49%	Below
DISTRICT 11									
Aggregate Products Inc	45027951	5167(040)	11-75740	\$149,987	17.74%	\$29,997	\$5,999	-2.26%	Below
DISTRICT 12									
California Professional	99007120	5181(181)	12-89505	\$643,490	15.94%	\$141,568	\$31,145	-6.06%	Below
International Line Builder's Inc.	87691986	5181(184)	12-21902	\$269,032	15.41%	\$21,523	\$1,722	7.41%	Above

DISADVANTAGED BUSINESS ENTERPRISE (DBE) RUNNING TALLY OF ATTAINMENTS

SAMPLE SUMMARY REPORT

Report Period: Jan 2020

DBE Sub Contractor Payments

Subcontractor Name	DBE Cert. Number	Project Number	Contract Number	Contract Type	Contract Amount	Payment Amount	Paid To Date	Total DBE Credit
DISTRICT 1					\$96,526			\$25,242
Vendor-5017(045)-40425	40425	5017(045)	01-91422	Subcontractor	\$50,381	\$2,170	\$10,852	\$10,852
Vendor-5914(025)-22999	22999	5914(025)	01-49285	Subcontractor	\$9,229	\$576	\$2,878	\$2,878
Vendor-5914(025)-38721	38721	5914(025)	01-49285	Truck/Haul	\$9,229	\$576	\$2,878	\$2,878
Vendor-5914(025)-5270	5270	5914(025)	01-49285	Subcontractor	\$27,687	\$1,727	\$8,634	\$8,634
DISTRICT 2					\$206,833			\$61,851
Vendor-5903(059)-38334	38334	5903(059)	02-82274	Regular Dealer	\$206,833	\$12,370	\$61,851	\$37,111
DISTRICT 3					\$482,467			\$214,430
Vendor-5187(010)-217	217	5187(010)	3-80978	Truck/Haul	\$96,493	\$8,577	\$42,886	\$42,886
Vendor-5187(010)-37869	37869	5187(010)	3-80978	Subcontractor	\$48,247	\$4,289	\$21,443	\$21,443
Vendor-5187(010)-38278	38278	5187(010)	3-80978	Subcontractor	\$48,247	\$4,289	\$21,443	\$21,443
Vendor-5187(010)-39218	39218	5187(010)	3-80978	Regular Dealer	\$48,247	\$4,289	\$21,443	\$12,866
Vendor-5187(010)-43169	43169	5187(010)	3-80978	Manufacturer	\$48,247	\$4,289	\$21,443	\$21,443
Vendor-5187(010)-37444	37444	5187(010)	3-80978	Manufacturer	\$48,247	\$4,289	\$21,443	\$21,443
Vendor-5187(010)-500	500	5187(010)	3-80978	Subcontractor	\$48,247	\$4,289	\$21,443	\$21,443
Vendor-5187(010)-5270	5270	5187(010)	3-80978	Truck/Haul	\$96,493	\$8,577	\$42,886	\$42,886
DISTRICT 5					\$447,592			\$19,177
Vendor-5949(120)-39108	39108	5949(120)	05-19593	Manufacturer	\$84,854	\$637	\$3,185	\$3,185
Vendor-5949(120)-39134	39134	5949(120)	05-19593	Truck/Haul	\$212,136	\$1,593	\$7,963	\$7,963
Vendor-5949(120)-43427	43427	5949(120)	05-19593	Manufacturer	\$42,427	\$319	\$1,593	\$1,593
Vendor-5949(120)-43969	43969	5949(120)	05-19593	Subcontractor	\$21,214	\$159	\$796	\$796
Vendor-5949(120)-5270	5270	5949(120)	05-19593	Regular Dealer	\$63,641	\$478	\$2,389	\$1,433
Vendor-5138(052)-28989	28989	5138(052)	05-52885	Subcontractor	\$23,321	\$650	\$3,251	\$3,251
DISTRICT 6					\$824,231			\$140,301
Vendor-5945(105)-2003	2003	5945(105)	06-76005	Regular Dealer	\$115,155	\$1,309	\$6,547	\$3,928
Vendor-5945(105)-12505	12505	5945(105)	06-76005	Truck/Haul	\$153,540	\$1,746	\$8,729	\$8,729
Vendor-5945(105)-447	447	5945(105)	06-76005	Regular Dealer	\$115,155	\$1,309	\$6,547	\$3,928
Vendor-5091(062)-31277	31277	5091(062)	06-39482	Subcontractor	\$88,076	\$4,739	\$23,696	\$23,696
Vendor-5091(062)-1	1	5091(062)	06-39482	Regular Dealer	\$132,114	\$7,109	\$35,544	\$21,326
Vendor-5091(062)-202	202	5091(062)	06-39482	Manufacturer	\$220,190	\$11,848	\$59,240	\$59,240
DISTRICT 7					\$389,913			\$65,248
Vendor-5953(700)-447	447	5953(700)	07-74592	Truck/Haul	\$112,307	\$7,478	\$37,388	\$37,388
Vendor-5334(058)-35398	35398	5334(058)	07-70040	Subcontractor	\$277,606	\$5,572	\$27,860	\$27,860

DISADVANTAGED BUSINESS ENTERPRISE (DBE) RUNNING TALLY OF ATTAINMENTS

SAMPLE SUMMARY REPORT

Report Period: Jan 2020

DBE Sub Contractor Payments

Subcontractor Name	DBE Cert. Number	Project Number	Contract Number	Contract Type	Contract Amount	Payment Amount	Paid To Date	Total DBE Credit	
DISTRICT 10					\$212,691				\$38,232
Vendor-5938(211)-22999	22999	5938(211)	10-69995	Manufacturer	\$13,352	\$300	\$1,502	\$1,502	
Vendor-5938(211)-38300	38300	5938(211)	10-69995	Truck/Haul	\$13,352	\$300	\$1,502	\$1,502	
Vendor-5938(211)-5270	5270	5938(211)	10-69995	Regular Dealer	\$53,408	\$1,202	\$6,009	\$3,606	
Vendor-5938(211)-29335	29335	5938(211)	10-69995	Manufacturer	\$53,408	\$1,202	\$6,009	\$6,009	
Vendor-5406(028)-38300	38300	5406(028)	10-87660	Subcontractor	\$15,834	\$928	\$4,642	\$4,642	
Vendor-5406(028)-43376	43376	5406(028)	10-87660	Subcontractor	\$15,834	\$928	\$4,642	\$4,642	
Vendor-5406(028)-5270	5270	5406(028)	10-87660	Manufacturer	\$47,502	\$2,785	\$13,926	\$13,926	
DISTRICT 11					\$26,608				\$5,999
Vendor-5167(040)-44250	44250	5167(040)	11-75740	Subcontractor	\$26,608	\$1,200	\$5,999	\$5,999	
DISTRICT 12					\$144,030				\$32,867
California Professional	31277	5181(181)	12-89505	Prime	\$102,572	\$6,229	\$31,145	\$31,145	
International Line Builder's Inc.	40540	5181(184)	12-21902	Prime	\$16,583	\$138	\$689	\$689	
Vendor-5181(184)-45543	45543	5181(184)	12-21902	Truck/Haul	\$24,875	\$207	\$1,033	\$1,033	

